

CITY OF OAKLAND



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Public Ethics Commission
Enforcement Unit

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September 7, 2017

Dana King
Dana King for City Council 2014



Steve Kopff
Dana King for City Council 2014



Re: PEC Complaint No. 17-08; Warning Letter

Dear Ms. King and Mr. Kopff:

This letter is in response to your failure to timely file a terminating statement of organization for *Dana King for City Council 2014*, in violation of Oakland Campaign Reform Act section 3.12.240(A).

A candidate-controlled committee is required to file semiannual campaign statements until it terminates by filing a terminating semiannual campaign statement and a terminating statement of organization. A terminating statement of organization must be filed within 10 days of the termination date of the committee. A semiannual campaign statement must be filed by July 31 for the period ending June 30, and by January 31 for the period ending December 31.¹

We opened this investigation because the City of Oakland Public Ethics Commission (PEC) did not receive a semiannual campaign statement for *Dana King for City Council 2014* for the July 1 through December 31, 2016, reporting period by the January 31, 2017, deadline. Our ensuing investigation found that *Dana King for City Council 2014* terminated

¹ Government Code §§ 84103(a), 84200(a), and 84214(a); 2 Cal. Code of Regs. 18404(b).

on June 30, 2014, and therefore is no longer required to file campaign statements. We also found that the committee failed to file a terminating statement of organization until May 24, 2017, in violation of the Oakland Campaign Reform Act. However, because you and the committee do not have any prior violations of the Oakland Campaign Reform Act, you cooperated with the PEC's investigation into this matter, and the committee does not have any reportable financial activity since it terminated on June 30, 2014, we are closing this matter with this warning letter in lieu of a penalty.

The information in this case will be retained and may be used against you and the committee should an enforcement action become necessary due to newly discovered information or your failure to comply with campaign statement filing requirements in the future. Your failure to comply with campaign statement requirements in the future will result in the imposition of administrative penalties by the PEC of up to \$5,000 per violation or three times the amount not properly reported, whichever is greater.

This warning letter is a PEC case resolution without a monetary penalty, but does not provide you with an opportunity for a full hearing before the PEC. If you wish to avail yourself to a full hearing process by requesting that this case proceed with further enforcement rather than a warning, please notify me in writing within 10 days from the date of this letter. If I do not receive such notification within 10 days in writing, this warning letter will remain in effect and the matter will be closed.

If you would like further information or have additional information regarding this matter, you can reach me at (510) 238-4976 or mdalju@oaklandnet.com.

Sincerely,

Milad Dalju
Deputy Director and Chief of Enforcement
City of Oakland Public Ethics Commission